

In the United States Patent and Trademark Office

Serial No.: 09/871,427 **Docket No.:** 17209-615
Filed: May 31, 2001 **Conf. No.:** 8901 **Art Unit:** 3691
Applicant(s): Andrew J.R. Smith et al. **Examiner:** Hamilton, Lalita M.
For: Method and System for Processing Raw Financial Data Streams to
Produce and Distribute Structured and Validated Product Offering
Objects

Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

AMENDMENT/RESPONSE ACCOMPANYING RCE, 37 CFR §1.114

Sir:

Further to the Notice of Allowance dated September 29, 2010, in view of the concurrently filed Request for Continued Examination ("RCE"), and in view of the following amendments and/or remarks, Andrew J.R. Smith et al. and/or Attorney(s) for applicant(s) (hereinafter "Applicant") request entry thereof and reconsideration of the above-referenced application.

Amendments to the Specification begin on page 2.

Remarks begin on page 4 of this paper.